

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Harald JAGER et al.

Serial No.: n/a

Filed: concurrently

For: Process for the Production of Light-Emitting Diode Light Sources with a Luminescence Conversion Element

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. Copies of the listed documents are also enclosed.

Please note the following correspondence: DE 100 06 738 with US 2003/0173575; DE 199 63 806 with WO 01/50540 and US 2002/0195935; and WO 98/12757 with USP 6,066,861.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicants reserve the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB08A be returned indicating that such information has been considered.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By Thomas Langer  
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Dated: December 11, 2003

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<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor	Harald JAGER
		Art Unit	
Examiner Name			
Sheet 1 of 2	Attorney Docket Number	5367-62	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code <sup>2</sup> (if known)			
		US-6,066,861	05/23/2000	Hohn	
		US-2002/0195935 A1	12/26/2002	Jager et al.	
		US-2003/0173575 A1	09/18/2003	Eisert et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind- Code <sup>5</sup> (if known)				
		WO 97/50132	12/31/1997	Schneider et al.		Abstract
		WO 98/12757	03/26/1998	Siemens AG		
		WO 01/39282 A2	11/20/2000	Osram Opto Semiconductors GmbH		Abstract
		WO 01/50540 A1	12/18/2000	Osram Opto Semiconductors GmbH		
		DE 199 63 806 A1	07/19/2001	Osram Opto Semiconductors GmbH		
		DE 100 08 203 A1	08/30/2001	Vishay Semiconductor GmbH		Abstract
		DE 100 06 738 A1	09/13/2001	Osram Opto Semiconductors GmbH		
		JP 2002-118293	04/19/2002	Nichia Chem Ind. Ltd.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for Form 1449/PTO  <div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>            (Use as many sheets as necessary)         </div>	<i>Complete if Known</i>	
	Application Number	
	Filing Date	
	First Named Inventor	Harald JAGER
	Art Unit	
	Examiner Name	
Sheet 2 of 2	Attorney Docket Number	5367-62

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Pinckney, L.R., "Ceramics and Glass", Engineered Materials Handbook, Vol. 4, ASM International, pp. 433-438	
			-
			.

Examiner Signature		Date Considered	
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